

11/15/01
JC928 U.S. PTO

11-23-01

Patent
Attorney Docket: 269/206

To: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

i-1036 U.S. PTO
i-09/990857

11/15/01

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): Heiji OJIMA, Fumio KAWAHARA & Mitsuru TOMONO

Title: METAL LUBRICANTS CONTAINING A BRIDGE COMPLEX

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

34 Page(s) of Written Description
15 Page(s) Claims
1 Page(s) Abstract
4 Sheets of Drawings Informal Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

Declaration
 Power of Attorney Separate Combined with Declaration
 Assignment to _____ and assignment cover sheet
 Certified Copy of Priority Document No(s): 2000-389433 (Japan)
 Information Disclosure Statement w/PTO 1449 Copy of Citations
 Preliminary Amendment
 Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
 Return Postcard
 Other:

III. PRIORITY

Priority of application Serial No. 2000-389433 filed on December 21, 2000 in Japan is claimed under 35 U.S.C. § 119.

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL607744438US
Express Mail Label No.

November 15, 2001
Date of Deposit

Rowena R. Estrada
Name of Person Mailing Paper

Rowena R. Estrada
Signature of Person Mailing Paper

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

<input type="checkbox"/> Applicant claims small entity status pursuant to 37 CFR § 1.27
BASIC FILING FEE:
Total Claims 44 - 20 = 24 x \$18.00 \$432.00
Independent Claims 3 - 3 = 0 x \$84.00 \$0.00
Multiple Dependent Claims \$280 (if applicable) <input type="checkbox"/> \$0.00
TOTAL OF ABOVE CALCULATIONS \$1,172.00
Reduction by $\frac{1}{2}$ for Filing by Small Entity, if applicable, as asserted above. <input type="checkbox"/> \$0.00
Note 37 CFR §§ 1.9, 1.27, 1.28.
Misc. Filing Fees (Recordation of Assignment -- \$40) <input type="checkbox"/> \$0.00
TOTAL FEES DUE HEREWITH \$1,172.00

V. METHOD OF PAYMENT OF FEES

- A check in the amount of _____.
- Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$1,172.00.
- This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. **12-2475** for the following:

- 37 CFR § 1.16 – (Filing fees and excess claims fees)
- 37 CFR § 1.17 – (Any application processing fees)
- 37 CFR § 1.21 – (Assignment recording fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
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Please direct all inquiries to Paul H. Meier, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Paul H. Meier

Dated: November 15, 2001

By: _____

Paul H. Meier
Reg. No. 32,274